

**Note: Broker-dealers are required to disclose any material arrangements with the venues listed in each section below, including, but not limited to, any internalization or payment for order flow arrangements.**

**TR1**  
**TRIAD ADVISORS, LLC.**  
**5155 PEACHTREE PKWY, STE 3220**  
**NORCROSS GA 30092**

**SEC Rule 606 Quarterly Report for the Quarter Ending March 31, 2019**

**Securities Listed on New York Stock Exchange**

**Summary Statistics:**

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	82.89%
Limit orders as percentage of total limit orders	14.06%
Other orders as percentage of total other orders	3.05%

**Venues Receiving Significant Percentage of Total Non-Directed Orders:**

1. Citadel Securities LLC	53.51%
2. VIRTU Americas LLC	16.95%
3. G1 Execution Services, LLC	16.49%
4. Two Sigma Securities, LLC	5.14%
5. NASDAQ Execution Services, LLC	2.08%
6. Cboe EDGX U.S. Equities Exchange	1.95%
7. UBS Securities, LLC	1.71%
8. New York Stock Exchange	1.65%
9. Cboe BZX U.S. Equities Exchange	0.47%
10. Triad Advisors, Inc.	0.03%

**Information Concerning Significant Venues :**

1. Citadel Securities LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	57.31%
Limit orders as percentage of total limit orders	30.18%
Other orders as percentage of total other orders	57.68%

2. VIRTU Americas LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.16%
Limit orders as percentage of total limit orders	13.22%
Other orders as percentage of total other orders	28.53%

3. G1 Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.14%
Limit orders as percentage of total limit orders	9.20%
Other orders as percentage of total other orders	5.33%

4. Two Sigma Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.59%
Limit orders as percentage of total limit orders	2.79%
Other orders as percentage of total other orders	3.76%

5. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	14.78%
Other orders as percentage of total other orders	0.00%

6. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	13.90%
Other orders as percentage of total other orders	0.00%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.74%
Limit orders as percentage of total limit orders	1.02%
Other orders as percentage of total other orders	4.08%

8. New York Stock Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.01%
Limit orders as percentage of total limit orders	11.65%
Other orders as percentage of total other orders	0.00%

9. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	3.27%
Other orders as percentage of total other orders	0.31%

10. Triad Advisors, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.03%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.00%

## Securities Listed on The Nasdaq Stock Market

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	86.59%
Limit orders as percentage of total limit orders	9.99%
Other orders as percentage of total other orders	3.42%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	55.92%
2. G1 Execution Services, LLC	16.61%
3. VIRTU Americas LLC	16.02%
4. Two Sigma Securities, LLC	5.31%
5. Cboe EDGX U.S. Equities Exchange	2.03%
6. NASDAQ Execution Services, LLC	2.02%
7. UBS Securities, LLC	1.67%
8. Cboe BZX U.S. Equities Exchange	0.25%
9. Credit Suisse Securities (USA) LLC	0.11%
10. Barclays Capital, Inc.	0.02%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	57.36%
Limit orders as percentage of total limit orders	31.14%
Other orders as percentage of total other orders	91.78%

#### 2. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	18.43%
Limit orders as percentage of total limit orders	6.42%
Other orders as percentage of total other orders	0.46%

#### 3. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.57%
Limit orders as percentage of total limit orders	15.96%
Other orders as percentage of total other orders	2.28%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.81%
Limit orders as percentage of total limit orders	2.82%
Other orders as percentage of total other orders	0.00%

5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.34%
Other orders as percentage of total other orders	0.00%

6. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	19.87%
Other orders as percentage of total other orders	0.91%

7. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.81%
Limit orders as percentage of total limit orders	0.94%
Other orders as percentage of total other orders	0.46%

8. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	2.50%
Other orders as percentage of total other orders	0.00%

9. Credit Suisse Securities (USA) LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	3.20%

10. Barclays Capital, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	0.00%
Other orders as percentage of total other orders	0.46%

## Securities Listed on NYSE American or Regional Exchanges

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	91.08%
Limit orders as percentage of total limit orders	8.83%
Other orders as percentage of total other orders	0.09%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Citadel Securities LLC	55.20%
2. G1 Execution Services, LLC	16.86%
3. VIRTU Americas LLC	16.70%
4. Two Sigma Securities, LLC	5.44%
5. Cboe EDGX U.S. Equities Exchange	1.81%
6. UBS Securities, LLC	1.51%
7. NASDAQ Execution Services, LLC	1.01%
8. NYSE American	0.77%
9. NYSE Arca	0.54%
10. Cboe BZX U.S. Equities Exchange	0.15%

### Information Concerning Significant Venues :

#### 1. Citadel Securities LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	58.01%
Limit orders as percentage of total limit orders	26.32%
Other orders as percentage of total other orders	50.00%

#### 2. G1 Execution Services, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	17.82%
Limit orders as percentage of total limit orders	7.12%
Other orders as percentage of total other orders	0.00%

#### 3. VIRTU Americas LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	16.92%
Limit orders as percentage of total limit orders	14.24%
Other orders as percentage of total other orders	33.33%

#### 4. Two Sigma Securities, LLC

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	5.65%
Limit orders as percentage of total limit orders	3.15%
Other orders as percentage of total other orders	16.67%

5. Cboe EDGX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	20.53%
Other orders as percentage of total other orders	0.00%

6. UBS Securities, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.59%
Limit orders as percentage of total limit orders	0.66%
Other orders as percentage of total other orders	0.00%

7. NASDAQ Execution Services, LLC

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	11.42%
Other orders as percentage of total other orders	0.00%

8. NYSE American

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	8.77%
Other orders as percentage of total other orders	0.00%

9. NYSE Arca

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	6.13%
Other orders as percentage of total other orders	0.00%

10. Cboe BZX U.S. Equities Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	0.00%
Limit orders as percentage of total limit orders	1.66%
Other orders as percentage of total other orders	0.00%

## Exchange - Listed Options

### Summary Statistics:

Non-directed orders as percentage of total customer orders	100.00%
Market orders as percentage of total market orders	41.74%
Limit orders as percentage of total limit orders	53.90%
Other orders as percentage of total other orders	4.36%

### Venues Receiving Significant Percentage of Total Non-Directed Orders:

1. Cboe Options Exchange	28.29%
2. NASDAQ PHLX Options	16.42%
3. ISE Gemini Options Exchange	11.22%
4. NASDAQ Options Market	11.13%
5. NYSE Arca Options	6.03%
6. Cboe BZX Options Exchange, Inc.	5.84%
7. Cboe EDGX Option Exchange	5.01%
8. BOX Option Exchange	4.73%
9. The MIAX Options Exchange	3.43%
10. MIAX Pearl Exchange	2.97%

### Information Concerning Significant Venues :

#### 1. Cboe Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	41.56%
Limit orders as percentage of total limit orders	14.97%
Other orders as percentage of total other orders	65.96%

#### 2. NASDAQ PHLX Options

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	22.00%
Limit orders as percentage of total limit orders	10.84%
Other orders as percentage of total other orders	31.91%

#### 3. ISE Gemini Options Exchange

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.22%
Limit orders as percentage of total limit orders	19.10%
Other orders as percentage of total other orders	0.00%

#### 4. NASDAQ Options Market

##### Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.22%
Limit orders as percentage of total limit orders	18.93%
Other orders as percentage of total other orders	0.00%

5. NYSE Arca Options

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.78%
Limit orders as percentage of total limit orders	9.81%
Other orders as percentage of total other orders	0.00%

6. Cboe BZX Options Exchange, Inc.

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	1.78%
Limit orders as percentage of total limit orders	9.47%
Other orders as percentage of total other orders	0.00%

7. Cboe EDGX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.56%
Limit orders as percentage of total limit orders	6.54%
Other orders as percentage of total other orders	0.00%

8. BOX Option Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	9.78%
Limit orders as percentage of total limit orders	1.20%
Other orders as percentage of total other orders	0.00%

9. The MIAX Options Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	3.56%
Limit orders as percentage of total limit orders	3.61%
Other orders as percentage of total other orders	0.00%

10. MIAX Pearl Exchange

Types of Orders Routed to Venue :

Market orders as percentage of total market orders	2.67%
Limit orders as percentage of total limit orders	3.44%
Other orders as percentage of total other orders	0.00%